PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78931

Hideaki ITO, et al.

Appln. No.: Not yet assigned

Confirmation No.: Unknown Group Art Unit: Unknown

Filed: December 17, 2003 Examiner: Unknown

For: PHOTOSENSITIVE TRANSFER MATERIAL COMPRISING THERMOPLASTIC RESIN LAYER AND INTERMEDIATE LAYER EACH HAVING SPECIFIED

SURFACE POTENTIAL, AND METHOD FOR PRODUCING COLOR FILTER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No. Q78931

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

English language abstracts, submitted herewith, constitute concise statements of

relevance for Japanese Patent Application Laid-Open (JP-A) Nos. 2002-219878 and 11-

149008.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: December 17, 2003

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| Substitute for Form 1449 A & B/PTO | | | Complete if Known | | | | |
| |) | | Application Number | No yet assigned | | | |
| INFOR | RMATION | DISCLOSURE | Confirmation Number | Unknown | | | |
| STAT | EMENT B | Y APPLICANT | Filing Date | December 17, 2003 | | | |
| | | | First Named Inventor | Hideaki ITO | | | |
| (use | as many shee | ets as necessary) | Art Unit | Unknown | | | |
| | | | Examiner Name | Unknown | | | |
| Sheet | 1 | of 1 | Attorney Docket Number | O78931 | | | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--------|---|--------------------------------|---|
| | Document Number | | | | |
| Examiner Initials* | Cite No. ¹ | Number | Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|-------------------------|------------------------------|---------------------|-----------------------------------|---------------------|-----------------------------|--------------------------|
| Examiner Cite | Foreign Patent Document | | | Publication Date | Name of Patentee or | | |
| Initials* | No.1 | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | JР | 2002-219878 | (A) | 08/06/2002 | | abstract |
| | | JP | 11-149008 | (A) | 06/02/1999 | | abstract |
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| NON PATENT LITERATURE DOCUMENTS | | | | |
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| | | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.